



2675 #17  
121

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:  
Leroy B. Keely et al.

Serial No.: 09/750,288

Filed: December 29, 2000

For: CLASSYING, ANCHORING,  
AND TRANSFORMING INK

) Confirmation No: 6510  
)  
)  
Group Art Unit: 2675  
)  
Examiner: A. Awad  
)  
)  
Atty. Dkt. No. 003797.00071  
)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**RECEIVED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AUG 18 2004

Technology Center 2600

Sir:

This Information Disclosure Statement is submitted for consideration by the United States Patent and Trademark Office in accordance with the duty of disclosure.

Transmitted herewith is:

- A PTO 1449 form
- A Background Art Information Paper
- A copy of each item of information listed on the PTO 1449 form
- Concise explanation of non-English language information
- concise explanation of relevance:
- English translation of foreign language information

- English language version of foreign patent office report citing information
- English language abstract(s) of foreign patent document(s)
- This application relies, under 35 U.S.C. §120, on the earlier filing date of U.S. Application Serial No. \*\*\*\*\*, filed \*\*\*. The information identified on the attached PTO 1449 form was submitted to and/or cited by the Patent and Trademark Office in this earlier application and, therefore, copies are not required to be provided in this application.
1.  This Information Disclosure Statement is filed before the later of:
- three months from the filing date of this national application;
- three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
- the mailing date of a first office action on the merits.
- In accordance with 37 CFR 1.97(b), no fee is required.
2.  This Information Disclosure Statement is filed after the events noted in paragraph 1, but before either:
- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution,
- a Notice of Allowance under 37 CFR 1.311.
- In accordance with 37 CFR 1.97(c), also enclosed is
- a fee under 37 CFR 1.17(p) for \$180.00; or
- a certification as stated below.
3.  This Information Disclosure Statement is filed before payment of the issue fee, but after either
- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution;

[ ] a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(d), also enclosed is:

[ X] a certification, as stated below; and

[ X] the IDS processing fee set forth in 37 CFR 1.17(p)(1) in the amount of \$180.00.

Certification

The undersigned states:

[X] Each item of Information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or

[ ] No item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

**TOTAL FEES:**      \$180.00

[ ] A check for the amount of the above indicated TOTAL FEES is attached.

[X] Please charge Deposit Account No. 19-0733 in the amount of \$180.00.

[ ] A check for \$ is enclosed.

[ ] No fee is required.

[X] Please charge or credit Deposit Account No. 19-0733 for any deficiency or overpayment associated with this Information Disclosure Statement.

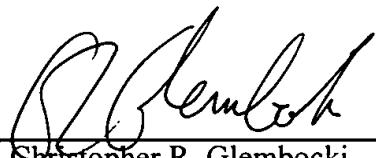
A duplicate copy of this sheet is enclosed for accounting purposes.

It is respectfully requested that the Examiner fully consider each item of information, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the information has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By:

  
Christopher R. Glembocki  
Registration No. 38,800

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1001 G Street, N.W.  
Washington, D.C. 20001-4597  
(202) 824-3000

Dated: 8/16/04

# FEE TRANSMITTAL for FY 2004

AUG 16 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 180)

Complete if Known

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Application Number

09/750,288

Filing Date

December 29, 2000

First Named Inventor

Leroy B. Keely et al.

Examiner Name

A. Awad

Art Unit

2675

AUG 18 2004

Technology Center 2600

TOTAL AMOUNT OF PAYMENT

(\$ 180)

## METHOD OF PAYMENT (check all that apply)

 Check    Credit card    Money Order    Other    None
 Deposit Account:

Deposit Account Number

19-0733

Deposit Account Name

Banner &amp; Witcoff, LTD.

## The Director is authorized to: (check all that apply)

- 
- Charge fee(s) indicated below
- 
- Credit any overpayments
- 
- 
- Charge any additional fee(s) during the pendency of this application
- 
- 
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

## Large Entity      Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

## 2. EXTRA CLAIM FEES

Total Claims	-20 **	=	0	X	18	=	0	Extra Claims	Fee from below	Fee Paid
Independent Claims	-3 **	=	0	X	86	=	0			
Multiple Dependent				X		=	0			

## Large Entity      Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1051	130	Surcharge - late filing fee or oath	
		1052	50	Surcharge - late provisional filing fee or cover sheet	
		1053	130	Non-English specification	
		1812	2,520	For filing a request for reexamination	
		1804	920*	Requesting publication of SIR prior to Examiner action	
		1805	1,840*	Requesting publication of SIR after Examiner action	
		1251	110	Extension for reply within first month	
		1252	420	Extension for reply within second month	
		1253	950	Extension for reply within third month	
		1254	1,480	Extension for reply within fourth month	
		1255	2,010	Extension for reply within fifth month	
		1401	330	Notice of Appeal	
		1402	330	Filing a brief in support of an appeal	
		1403	290	Request for oral hearing	
		1451	1,510	Petition to institute a public use proceeding	
		1452	110	Petition to revive – unavoidable	
		1453	1,330	Petition to revive – unintentional	
		1501	1,330	Utility issue fee (or reissue)	
		1502	480	Design issue fee	
		1503	640	Plant issue fee	
		1460	130	Petitions to the Commissioner	
		1807	50	Processing fee under 37 CFR 1.17 (q)	
		1806	180	Submission of Information Disclosure Stmt	180
		8021	40	Recording each patent assignment per property (1 times number of properties)	
		1809	770	Filing a submission after final rejection (37 CFR § 1.129(a))	
		1810	770	For each additional invention to be examined (37 CFR § 1.129(b))	
		1801	770	Request for Continued Examination (RCE)	
		1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

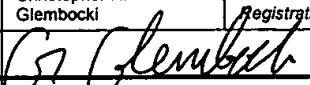
SUBTOTAL (3)

(\$ 180)

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Christopher R. Glembocki	Registration No. Attorney/Agent)	38,800	Telephone	202-824-3000
Signature			Date	August 9, 2004	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO-1449 (Modified)	AUG 16 2004	ATTY. DOCKET NO. 003797.00071	SERIAL NUMBER 09/750,288
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICANT Leroy B. Keely et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE December 29, 2000	GROUP ART UNIT 2675

## **U.S. PATENT DOCUMENTS**

RECEIVED

AUG 1 8 2004

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## **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	1 016 983 A2	07/2000	Europe			
	WO 97/22109	06/1997	PCT			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Bill N. Schilit et al., "Digital Library Information Appliances", pgs. 217-225, 1998.  
European Search Report dated July 8, 2004.

EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.